



Office of  
**COUNTY COUNSEL**  
County of Lake

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April 16, 2021

Scott A. McMillan  
The McMillan Law Firm  
4670 Nebo Drive, Suite 200  
La Mesa, CA 91941-5230

Re: Public Records Act Request – General Election, November 3, 2020

This letter is in response to your request for public records made to the Lake County Registrar of Voters on April 6, 2021. With two exceptions, all discernable public records responsive to this request which are subject to disclosure, are provided here. The first exception is in response to question number 33. While documents will be provided, the breadth and scope of that production will require the Registrar to invoke the additional 14 days available pursuant to Government Code section 6253, subdivision (c). The second exception is in response to question number 60. Although documents have been provided in part, further response will require a more involved search. Accordingly, the Registrar's anticipated date of production in response to question numbers 33 and 60 will be April 30, 2021. The Registrar's complete responses to your request are as follows:

**1. Provide those documents identifying, by date, time and means, all synchronization transmissions between the California Secretary of State and the county Registrar of Voters.**

Response: The Registrar has determined that it possesses no records responsive to this request.

**2. Provide those documents with the results of each synchronization transmission between the California Secretary of State and the county Registrar of Voters, by number of registrants confirmed as valid, and those that are identified as invalid.**

Response: The Registrar has determined that it possesses no records responsive to this request. However, the Registrar will make available memoranda to all County Clerks/Registrars of Voters from the Secretary of State, dated June 16, 2020 and March 5, 2021.

**3. Provide those documents which reflect notifications from the Secretary of State requesting or directing modifications to a voter's registration record between September 1, 2020 and November 15, 2020.**

Response: The Registrar has determined that it possesses records responsive this request. Please see attached.

**4. Provide those documents that reflect, in summary form, the modifications to the voter registration record, by date, time, and by any unique voter registration number or name of voter, for those records modified between September 1, 2020 and November 15, 2020.**

Response: The Registrar has determined that without data compilation, extraction, or programming to produce a copy of a record with the requested data, it possesses no records responsive to this request. If you would like a copy of a record that requires data compilation, extraction, or programming to produce, please reply to confirm your request and our office will then provide you with an estimate of the cost of producing a copy of the record, including the cost to construct the record and cost of programming and computer services necessary to produce a copy of the record, pursuant to Government Code § 6253.9. Pursuant to Elections Code sections 2188 and 2194, voter registration data files are available for purchase only for election, scholarly, journalistic, political or governmental purposes. To purchase voter registration data please complete the attached Voter Registration Application.

**5. Provide those documents identifying where, by geographic location, ballots were counted in the County between October 15, 2020 through November 30, 2020.**

Response: The Registrar has determined that it possesses records responsive this request. Please see attached.

**6. Provide those documents, i.e., logs, narratives of inspection, created between September 1, 2020 and November 15, 2020 reflecting "Active management (inventory, tracking, and correction) of all software on the network so that only authorized software is installed and can execute" took place in compliance with 2 CCR § 19064(c)(2).**

Response: To the extent that the County has potential responsive documents that describe potential vulnerabilities, or otherwise increase the potential for an attack on the information technology system associated with the Registrar of Voters and the County, these records are exempt from disclosure pursuant to Government Code sections 6254(aa), 6254.19, 6255, and 2 CCR § 19064.

**7. Provide those documents, i.e., logs, narratives of inspection, created between September 1, 2020 and November 15, 2020 reflecting "active management (tracking, reporting, and correction) of the security configuration of laptops, servers, and workstations in order to prevent attackers from exploiting vulnerable services and settings" took place in compliance with 2 CCR § 19064(c)(3).**

Response: To the extent that the County has potential responsive documents that describe potential vulnerabilities, or otherwise increase the potential for an attack on the information technology system associated with the Registrar of Voters and the County, these records are exempt from disclosure pursuant to Government Code sections 6254(aa), 6254.19, 6255, and 2 CCR § 19064.

**8. Provide those documents, i.e., logs, narratives of inspection, created between September 1, 2020 and November 15, 2020 reflecting "Active management (tracking, control, and correction) of the ongoing operational use of ports, protocols, and services on networked devices in order to minimize vulnerabilities available for attack" took place in compliance with 2 CCR § 19064(c)(9).**

Response: To the extent that the County has potential responsive documents that describe potential vulnerabilities, or otherwise increase the potential for an attack on the information technology system associated with the Registrar of Voters and the County, these records are exempt from disclosure pursuant to Government Code sections 6254(aa), 6254.19, 6255, and 2 CCR § 19064.

**9. Provide the log files for all data traffic, including connection requests accepted and refused, between the county's election information file and any other location between September 1, 2020 and November 15, 2020.**

Response: To the extent that the County has potential responsive documents that describe potential vulnerabilities, or otherwise increase the potential for an attack on the information technology system associated with the Registrar of Voters and the County, these records are exempt from disclosure pursuant to Government Code sections 6254(aa), 6254.19, 6255, and 2 CCR § 19064.

**10. Provide those documents, i.e., logs, narratives of inspection, created between September 1, 2020 and November 15, 2020 reflecting " Tracking, controlling, preventing, and correcting the security use of wireless local area networks, access points, and wireless client systems" which took place in compliance with 2 CCR § 19064(c)(15).**

Response: This request seeks information security records that are exempt from disclosure pursuant to Government Code sections 6254(aa), 6254.19 and 6255 and 2 CCR § 19064.

**11. Provide those documents, i.e., logs, narratives of inspection, created between September 1, 2020 and November 15, 2020 reflecting the inventory and identification of all wireless connections in the County's ballot processing location.**

Response: After a diligent search for records, the Registrar has determined there are no records responsive to your request. The Registrar does not use wireless local area networks, access points or wireless client systems. However, note that records that describe potential vulnerabilities and the County's resolution of such risks, are exempt from disclosure pursuant to Government Code sections 6254(aa), 6254.19, 6255 and 2 CCR § 19064.

**12. Provide those documents, i.e., logs, narratives of inspection, created between September 1, 2020 and November 15, 2020 reflecting the inventory and identification of all wireless-capable devices in the County's ballot processing location.**

Response: As we understand your request, and after a diligent search for records, the Registrar has determined there are no records responsive to your request. The Registrar does not use wireless local area networks, access points or wireless client systems. However, note that records that describe potential vulnerabilities and the County's resolution of such risks, are exempt from disclosure pursuant to Government Code sections 6254(aa), 6254.19, 6255 and 2 CCR § 19064.

**13. Provide those documents, identifying by serial number, MAC address, device, and location, and the custodian of, all wireless-capable devices in the County's ballot processing location between November 2, 2020 and November 13, 2020.**

Response: To the extent that the County has potential responsive documents that describe potential vulnerabilities, or otherwise increase the potential for an attack on the information technology system associated with the Registrar of Voters and the County, these records are exempt from disclosure pursuant to Government Code sections 6254(aa), 6254.19, 6255, and 2 CCR § 19064.

**14. Provide those documents, reflecting any incident response infrastructure (e.g., plans, defined roles, training, communications, and management oversight) operational prior to October 15, 2020, which took place in compliance with 2 CCR § 19064(c)(19).**

Response: This request seeks information consisting of security records that are exempt from disclosure pursuant to Government Code sections 6254(aa), 6254.19 and 6255 and 2 CCR § 19064. However, general information relating to cybersecurity and cyber risk is available. Please see attached Memorandum #19084 from the Secretary of State, Office of Election Cybersecurity dated September 20, 2019. Please see also this link to the Secretary of State, Office of Election Cybersecurity at <https://www.sos.ca.gov/elections/election-cybersecurity> and "Resources for Counties" at <http://www.sos.ca.gov/elections/election-cybersecurity/resources-counties/>

**15. Provide those documents, reflecting training on any incident response infrastructure (e.g., plans, defined roles, training, communications, and management oversight) prior to October 15, 2020.**

Response: This request seeks information consisting of security records that are exempt from disclosure pursuant to Government Code sections 6254(aa), 6254.19 and 6255 and 2 CCR § 19064. However, general information relating to cybersecurity and cyber risk is available. Please see attached Memorandum #19084 from the Secretary of State, Office of Election Cybersecurity dated September 20, 2019. Please see also this link to the Secretary of State, Office of Election Cybersecurity at <https://www.sos.ca.gov/elections/election-cybersecurity> and "Resources for Counties" at <http://www.sos.ca.gov/elections/election-cybersecurity/resources-counties/>

**16. Provide those documents, reflecting roles on any incident response infrastructure (e.g., plans, defined roles, training, communications, and management oversight) prior to October 15, 2020.**

Response: This request seeks information consisting of security records that are exempt from disclosure pursuant to Government Code sections 6254(aa), 6254.19 and 6255 and 2 CCR § 19064. However, general information relating to cybersecurity and cyber risk is available. Please see attached Memorandum #19084 from the Secretary of State, Office of Election Cybersecurity dated September 20, 2019. Please see also this link to the Secretary of State, Office of Election Cybersecurity at <https://www.sos.ca.gov/elections/election-cybersecurity> and "Resources for Counties" at <http://www.sos.ca.gov/elections/election-cybersecurity/resources-counties/>

**17. Provide those documents, reflecting communications on any incident response infrastructure (e.g., plans, defined roles, training, communications, and management oversight) prior to October 15, 2020.**

Response: This request seeks information consisting of security records that are exempt from disclosure pursuant to Government Code sections 6254(aa), 6254.19 and 6255 and 2 CCR § 19064. However, general information relating to cybersecurity and cyber risk is available. Please see attached Memorandum #19084 from the Secretary of State, Office of Election Cybersecurity dated September 20, 2019. Please see also this link to the Secretary of State, Office of Election Cybersecurity at <https://www.sos.ca.gov/elections/election-cybersecurity> and "Resources for Counties" at <http://www.sos.ca.gov/elections/election-cybersecurity/resources-counties/>

**18. Provide those documents, reflecting management oversight on any incident response infrastructure (e.g., plans, defined roles, training, communications, and management oversight) prior to October 15, 2020.**

Response: This request seeks information consisting of security records that are exempt from disclosure pursuant to Government Code sections 6254(aa), 6254.19 and 6255 and 2 CCR § 19064. However, general information relating to cybersecurity and cyber risk is available. Please see attached Memorandum #19084 from the Secretary of State, Office of Election Cybersecurity dated September 20, 2019. Please see also this link to the Secretary of State, Office of Election Cybersecurity at <https://www.sos.ca.gov/elections/election-cybersecurity> and "Resources for Counties" at <http://www.sos.ca.gov/elections/election-cybersecurity/resources-counties/>

**19. Provide those documents reflecting the hardware and software system configurations of the Election Management System ("EMS").**

Response: To the extent that the County has potential responsive documents that describe potential vulnerabilities, or otherwise increase the potential for an attack on the information technology system associated with the Registrar of Voters and the County, these records are exempt from disclosure pursuant to Government Code sections 6254(aa), 6254.19, 6255, and 2 CCR § 19064.

**20. Provide those documents reflecting the memory sticks and similar technology that contain software and/or data used in the November 2020 election.**

Response: This request seeks information security records that are exempt from disclosure pursuant to Government Code sections 6254(aa), 6254.19, 6255 and 2 CCR § 19064.

**21. Provide those documents reflecting the EMS operating system, adjudication, ranked choice voting, and election event designer logs.**

Response: The Registrar has determined that it possesses no records responsive to this request.

**22. Provide those documents reflecting the identity of each person that has accessed the routers for the county's EMS and election information systems between October 15, 2020 and November 13, 2020.**

Response: This request seeks information security records that are exempt from disclosure pursuant to Government Code sections 6254(c), 6254(aa), 6254.19 and 6255 and 2 CCR § 19064.

**23. Provide those documents which identify all persons, not permanently employed by the County, who accessed servers or routers of the EMS and election information system between October 15, 2020 and November 14, 2020.**

Response: This request seeks information security records that are exempt from disclosure pursuant to Government Code sections 6254(c), 6254(aa), 6254.19 and 6255 and 2 CCR § 19064.

**24. Provide documents which identify all persons authorized by the county who accessed servers or routers of the EMS and election information system between October 15, 2020 and November 14, 2020.**

Response: This request seeks information security records that are exempt from disclosure pursuant to Government Code sections 6254(c), 6254(aa), 6254.19 and 6255 and 2 CCR § 19064.

**25. Provide those documents which identify all persons with USB or "flash drives" that were allowed access to any location where votes were tallied or processed in the County.**

Response: Records identifying Registrar employees who have access to voting machine tabulation storage devices are exempt from disclosure pursuant to Government Code sections 6254(c), 6254(k), 6254.19 and 6255, and California Constitution, article I, Section 1.

**26. Provide those documents which identify all persons with sign-on authentication authorization as a direct user to the county's EMS and election information system between September 15, 2020 and December 1, 2020.**

Response: Records identifying Registrar employees who have sign-on authorization are exempt from disclosure pursuant to Government Code sections 6254(c), 6254(k), 6254.19 and 6255, 2 CCR § 19064, and California Constitution, article I, Section 1.

**27. Provide the log files for the county's EMS and election information system with entries between September 15, 2020 and December 1, 2020.**

Response: This request seeks information security records that are exempt from disclosure pursuant to Government Code sections 6254(aa), 6254.19 and 6255 and 2 CCR § 19064.

**28. Provide those documents or records reflecting a network topology plan or map referencing the devices attached each other device within the county's EMS and election information system.**

Response: This request seeks information security records that are exempt from disclosure pursuant to Government Code sections 6254(aa), 6254.19 and 6255 and 2 CCR § 19064.

**29. Provide those documents or records reflecting memory sticks and similar technology that contain software and/or data used in the November 2020 election.**

Response: The Registrar has determined that it possesses no records responsive to this request.

**30. Provide those documents or records reflecting the log monitoring tools used to send real-time alerts and notifications.**

Response: This request seeks information security records that are exempt from disclosure pursuant to Government Code sections 6254(aa), 6254.19 and 6255 and 2 CCR § 19064.

**31. Provide the "real-time alerts and notifications", as referenced in 2 CCR 19064(e)(3) sent between September 1, 2020 through December 10, 2020.**

Response: This request seeks information security records that are exempt from disclosure pursuant to Government Code sections 6254(aa), 6254.19 and 6255 and 2 CCR § 19064.

**32. Provide those documents or records reflecting review, at any time, of "any errors, abnormal activities, and any system configuration changes", identified between September 1, 2020 through December 10, 2020.**

Response: This request seeks information security records that are exempt from disclosure pursuant to Government Code sections 6254(c), 6254(aa), 6254.19 and 6255 and 2 CCR § 19064.

**33. Provide those documents or records reflecting Tabulator machine reports and error rate histories/logs.**

Response: Responsive records have been found for this request, and will be provided on a rolling basis, with the anticipated date of production being April 30, 2021. In the event that the County should encounter a delay, the County will advise you of such delay.

**34. Provide those documents which reflect "any errors" in the county's EMS and election information system identified between September 1, 2020 through December 10, 2020.**

Response: This request seeks information security records that are exempt from disclosure pursuant to Government Code sections 6254(c), 6254(aa), 6254.19 and 6255 and 2 CCR § 19064.

**35. Provide those documents which reflect "abnormal activities" in the county's EMS and election information system identified between September 1, 2020 through December 10, 2020.**

Response: This request seeks information security records that are exempt from disclosure pursuant to Government Code sections 6254(c), 6254(aa), 6254.19 and 6255 and 2 CCR § 19064.

**36. Provide those documents which reflect "system configuration changes" in the county's EMS and election information system identified between September 1, 2020 through December 10, 2020.**

Response: This request seeks information security records that are exempt from disclosure pursuant to Government Code sections 6254(c), 6254(aa), 6254.19 and 6255 and 2 CCR § 19064.

**37. Provide those documents which reflect "unauthorized use" in the county's EMS and election information system identified between September 1, 2020 through December 10, 2020.**

Response: The Registrar has determined that it possesses no records responsive to this request.

**38. Provide those documents which reflect "suspected breach" in the county's EMS and election information system identified between September 1, 2020 through December 10, 2020.**

Response: The Registrar has determined that it possesses no records responsive to this request.

**39. Provide those documents which reflect "denial of service attack" in the county's EMS and election information system identified between September 1, 2020 through December 10, 2020.**

Response: The Registrar has determined that it possesses no records responsive to this request.

**40. Provide those documents which reflect Native ballot scanned images.**

Please see the Registrar's response to question number 57 hereinbelow.

**41. Provide those documents reflecting a report to the Secretary of State Elections Division Help Desk of a "detected unauthorized use, suspected breach, or denial of service attack" between September 1, 2020 through December 10, 2020, as required under 2 CCR 19064 (g).**

Response: The Registrar has determined that it possesses no records responsive to this request.

**42. All records reflecting change in registration status submitted to the statewide voter system by the county due to felony status processed between September 1, 2020, and November 10, 2020.**

Response: The Registrar can provide responsive voter registration data once a completed application for voter registration data is received, along with the proper fee, pursuant to 2 CCR§ 19001, et seq. Pursuant to Elections Code sections 2188 and 2194, voter registration data files are available for purchase only for election, scholarly, journalistic, political or governmental purposes.

**43. All records reflecting change in registration status submitted to the statewide voter system by the county due to federal felony status processed between September 1, 2020, and November 10, 2020.**

Response: The Registrar has determined that it possesses no records responsive to this request as the records referenced in the Registrar's response to Request No. 42 do not identify whether the change in registration status is due to a state versus a federal felony.

**44. All records reflecting change in registration status submitted to the statewide voter system by the county due to county death records reflecting voter to be deceased processed between September 1, 2020, and November 10, 2020. The**

Response: The Registrar can provide responsive voter registration data once a completed application for voter registration data is received, along with the proper fee, pursuant to 2 CCR § 19001, et seq. Pursuant to Elections Code sections 2188 and 2194, voter registration data files are available for purchase only for election, scholarly, journalistic, political or governmental purposes.

**45. All records reflecting the total cancellations directed by the Secretary of State processed by the county between September 1, 2020, and November 10, 2020.**

Response: The Registrar can provide responsive voter registration data once a completed application for voter registration data is received, along with the proper fee, pursuant to 2 CCR § 19001, et seq. Pursuant to Elections Code sections 2188 and 2194, voter registration data files are available for purchase only for election, scholarly, journalistic, political or governmental purposes.

**46. All records reflecting searches of county felony records between September 1, 2020, and November 10, 2020.**

Response: The Registrar has determined that it possesses no records responsive to this request.



**47. All records reflecting searches of county death records between September 1, 2020, and November 10, 2020.**

Response: The Registrar processes the list of voters whose registrations are cancelled due to a notice of death that is provided by the State and by Lake County Public Health.

**48. All records reflecting county searches of DMV Change of Address (DMV COA) between September 1, 2020, and November 10, 2020.**

Response: The Registrar has determined that it possesses no records responsive to this request.

**49. All records reflecting total submissions by changes in the registration record to the statewide voter registration system in accordance with Section 19061, between September 1, 2020, and November 10, 2020.**

Response: The Registrar has determined that without performing data compilation, extraction, or programming to produce a copy of a record with the requested data, it possesses no records responsive to this request. If you would like a copy of a record that requires data compilation, extraction, or programming to produce, please reply to confirm your request and our office will then provide you with an estimate of the cost of producing a copy of the record, including the cost to construct the record and cost of programming and computer services necessary to produce a copy of the record, pursuant to Government Code § 6253.9.

**50. Those documents reflecting the dates of certifications of information provided to the Secretary of State according to 2 CCR § 19086(b).**

Response: The Registrar has determined that it possesses records responsive this request. Please see attached.

**51. Those documents reflecting the date of generation of the official list extract from the statewide voter registration system for the purpose of conducting the 2020 General Election.**

Response: The Registrar has determined that it possesses records responsive this request. Please see attached.

**52. Those documents reflecting the date of each subsequent or supplemental rosters, after the initial roster for the purpose of conducting the 2020 General Election.**

Response: The Registrar has determined that it possesses no records responsive to this request.

**53. All certifications executed by any county election official for the November 3, 2020 General Election.**

Response: The Registrar has determined that it possesses records responsive this request. Please see attached. The Statement of Vote can be found on the Registrar of Voter's webpage.

**54. The number of ballots determined by the county through adjudication for the November 3, 2020 election.**

Response: The Registrar has determined that it possesses no records responsive to this request.

**55. All ballots duplicated by the county through adjudication for the November 3, 2020 election.**

Response: This request seeks the production of ballots and such records are exempt from disclosure pursuant to Government Code sections 6254(k) and 6255, the California Constitution, article II, Section 7 and Elections Code sections 15370 (after ballots are counted and sealed, the elections official may not open any ballots nor permit any ballots to be opened), 15630 (all ballots, whether voted or not, and any other relevant material, may be examined as part of any recount if the voter filing the declaration requesting the recount so requests) and 17303 (packages containing ballots and identification envelopes shall be kept by the elections official, unopened and unaltered, for 22 months from the date of the election).

**56. Those documents that identify the records of ballots duplicated for the November 3, 2020 election**

Response: This request seeks the production of ballots and such records are exempt from disclosure pursuant to Government Code sections 6254(k) and 6255, the California Constitution, article 11, Section 7 and Elections Code sections 15370 (after ballots are counted and sealed, the elections official may not open any ballots nor permit any ballots to be opened), 15630 (all ballots, whether voted or not, and any other relevant material, may be examined as part of any recount if the voter filing the declaration requesting the recount so requests) and 17303 (packages containing ballots and identification envelopes shall be kept by the elections official, unopened and unaltered, for 22 months from the date of the election).

**57. Those documents that identify the records of ballots adjudicated for the November 3, 2020 election.**

Response: This request seeks the production of ballots and such records are exempt from disclosure pursuant to Government Code sections 6254(k) and 6255, the California Constitution, article 11, Section 7 and Elections Code sections 15370 (after ballots are counted and sealed, the elections official may not open any ballots nor permit any ballots to be opened), 15630 (all ballots, whether voted or not, and any other relevant material, may be examined as part of any recount if the voter filing the declaration requesting the recount so requests) and 17303 (packages containing ballots and identification envelopes shall be kept by the elections official, unopened and unaltered, for 22 months from the date of the election).

**58. Those documents that identify the records of changes to ballots made for the November 3, 2020 election.**

Response: This request seeks the production of ballots and such records are exempt from disclosure pursuant to Government Code sections 6254(k) and 6255, the California Constitution, article 11, Section 7 and Elections Code sections 15370 (after ballots are counted and sealed, the elections official may not open any ballots nor permit any ballots to be opened), 15630 (all ballots, whether voted or not, and any

other relevant material, may be examined as part of any recount if the voter filing the declaration requesting the recount so requests) and 17303 (packages containing ballots and identification envelopes shall be kept by the elections official, unopened and unaltered, for 22 months from the date of the election).

**59. All correspondence, whether such correspondence occurred by physical letter, email, text message, with any person employed by or affiliated with Dominion Voting Systems, Inc. between April 1, 2019 through December 10, 2020.**

Response: The Registrar has determined that it possesses no records responsive to this request. The Registrar has not and does not use the services or systems of the Dominion Voting Systems, Inc.

**60. All correspondence, whether such correspondence occurred by physical letter, email, text message, with any person employed by or affiliated with the California Secretary of State's Office of Voting Systems Technology Assessment between April 1, 2019 through December 10, 2020.**

Response: All correspondence issued to County Clerks/Registrars of Voters by the Secretary of State may be found at: <https://www.sos.ca.gov/administration/news-releases-and-advisories/2020-news-releases-and-advisories/> All County Clerks/Registrars of Voters receive monthly correspondence from the Secretary of State- Office of Voting Systems Technology Assessment. The most recent such correspondence, number 20142, was received in April of 2021 and is attached here.

The Registrar will conduct a search of e-mails to determine whether there are further records responsive to your request and any such disclosable responsive documents will be made available on or before April 30, 2021.

**61. All electronic documents containing the phrase "Image Cast Remote 5.10".**

Response: The Registrar has determined that it has no records responsive to this request.

**62. All electronic documents containing the phrase "Image Cast Remote 5.10A".**

Response: The Registrar has determined that it possesses no records responsive to this request.

**63. All electronic documents containing the phrase "Image Cast Remote 5.2".**

Response: The Registrar has determined that it possesses no records responsive to this request.

**64. All electronic documents containing the phrase "Suite 5.10A".**

Response: The Registrar has determined that it possesses no records responsive to this request.

**65. All electronic documents containing the phrase "Suite 5.10".**

Response: The Registrar has determined that it possesses no records responsive to this request.

**66. All electronic documents containing the phrase "Suite 5.2".**

Response: The Registrar has determined that it possesses no records responsive to this request.

**67. All electronic documents containing the phrase "ImageCast Evolution 5.10.9.3".**

Response: The Registrar has determined that it possesses no records responsive to this request.

**68. All electronic documents containing the phrase "ImageCast ICX 5.10.11.11".**

Response: The Registrar has determined that it possesses no records responsive to this request.

**69. All electronic documents containing the phrase "Eric Coomer"**

Response: The Registrar has determined that it possesses no records responsive to this request.

If you have any questions, please do not hesitate to contact me.

Sincerely,



Anita L. Grant  
County Counsel  
County of Lake

cc: Maria Valadez, Lake County Registrar of Voters

QUESTION NO. 2



**ALEX PADILLA** | SECRETARY OF STATE | STATE OF CALIFORNIA  
ELECTIONS DIVISION

1500 11<sup>th</sup> Street, 5<sup>th</sup> Floor, Sacramento, CA 95814 | Tel 916.657.2166 | Fax 916.653.3214 | www.sos.ca.gov

June 16, 2020

County Clerk/Registrar of Voters (CC/ROV) Memorandum #20118

TO: All County Clerks/Registrars of Voters

FROM: /s/ Jason Rosales  
Associate Elections Specialist

RE: VoteCal: Synchronization Schedule

The Secretary of State will be initiating regular synchronization (sync) checks beginning in July, with all counties to identify voter registration and district precinct differences in advance of the November 3, 2020, General Election. Sync checks will be performed on a monthly basis for all counties, and additional sync checks for Los Angeles, Orange, and San Diego counties will be performed, if needed. This will assist in the early identification of any differences that need to be resolved by counties in sufficient time for generating the Reports of Registration, Voter Information Guide and Official List.

County sync statistics will be consolidated and sent to all counties on a weekly basis. Individual county sync differences will be sent from VoteCal directly to the county's Election Management System (EMS) as soon as the sync check process completes. Counties are expected to resolve sync differences before the next sync check.

The counties have been divided into three groups for the SOS initiated sync checks:

- Group A will be scheduled for sync checks between 5:00 p.m. to 5:00 a.m. on Friday-Saturday of the first week of the month.
  - Los Angeles County will be scheduled for an additional sync check between 5:00 p.m. to 5:00 a.m. on Friday-Saturday of the third week of the month, if needed.
- Group B will be scheduled for sync checks between 5:00 p.m. to 5:00 a.m. on Wednesday-Thursday of the second week of the month.
  - San Diego County will be scheduled for an additional sync check between 5:00 p.m. to 5:00 a.m. on Wednesday-Thursday of the third week of the month, if needed.
- Group C will be scheduled for a sync check between 5:00 p.m. to 5:00 a.m. on Thursday-Friday of the third week of the month.
  - Orange County will be scheduled for an additional sync check between 5:00 p.m. to 5:00 a.m. on Thursday-Friday of the third week of the month, if needed.



**SHIRLEY N. WEBER, Ph.D.** | SECRETARY OF STATE | STATE OF CALIFORNIA  
ELECTIONS DIVISION

1500 11<sup>th</sup> Street, 5<sup>th</sup> Floor, Sacramento, CA 95814 | Tel 916.657.2166 | Fax 916.653.3214 | www.sos.ca.gov

March 5, 2021

County Clerk/Registrar of Voters (CC/ROV) Memorandum #21029

TO: All County Clerks/Registrars of Voters

FROM: /s/ Jason Rosales  
Associate Elections Specialist

RE: VoteCal: Synchronization Schedule

The Secretary of State will continue performing regular synchronization (sync) checks with all counties to identify voter registration and district precinct differences. Sync checks will be performed on a monthly basis for all counties, and additional sync checks for Los Angeles, Orange, and San Diego counties will be performed, if needed. This will assist in the early identification of any differences that need to be resolved by counties in sufficient time for generating the Reports of Registration and to ensure overall synchronization of voter registration data within county Election Management Systems (EMS) and VoteCal.

County sync statistics will be consolidated and sent to all counties on a weekly basis. Individual county sync differences will be sent from VoteCal directly to the county's EMS for county review as soon as the sync check process completes. Counties are expected to resolve sync differences before the next sync check.

SOS will not initiate sync checks in a county per the schedule outlined below for the following situations:

- If a sync check was initiated by a county the prior month and passed.
  - If a sync check was completed within a week prior to the scheduled sync check, regardless of pass or fail. This will provide time to resolve differences identified in the last sync check before the next sync check.
- If a county is actively working with the SOS on sync differences, then an alternate schedule can be agreed upon to maximize progress.

If your county is unable to resolve sync differences or requires support, please contact the SOS VoteCal help desk or your EMS support staff at:

- SOS: [VoteCalHelp@sos.ca.gov](mailto:VoteCalHelp@sos.ca.gov) or (888) 868-3225
- DFM: [support@dfmassociates.com](mailto:support@dfmassociates.com)
- Runbeck: [emshelpdesk@runbeck.net](mailto:emshelpdesk@runbeck.net)

QUESTION NO. 3





# Daily Audit / NVRA Statistics

Activity Date Range: 9/11/2020 to 11/15/2020

#3

### Registration Activity:

Added:	2,981
Updated:	3,732
Pending:	1,325
Deleted (Removed):	4
<b>Cancellations</b>	
Total Cancelled Voters:	191
Death:	0
Felon:	13
Failed to Vote:	0
Moved Out of County:	0
Requested by Voter:	0
Other Reasons:	178

### Re-Registrations

<b>Total Re-Registrations:</b>	4,080
<i>Note: A single re-registration may count in multiple buckets; thus counts may not add up to the total.</i>	
Name Change:	135
Address Change:	176
Party Change:	85
Other Reasons:	2,791
Exact Duplicates:	893

### Other Statistics

Voter ID Cards Generated:	5,851
Out of County Prior Reg:	5,665
<b>Confirmation Notices (2225(b)/8D2/2225(c) Cards)</b>	
Notices Mailed:	51
In County:	3
In State:	0
Out of State:	1
Undeliverable:	47
Notices Returned:	65

Source Location	New Adds	Inactive Adds	Re-Registrations	Cancelled	Updated	Pendings	ID Cards	Conf Notices Rcvd
Unknown	0	53	0	191	481	49	7	65
Business Office	8	0	6	0	6	0	14	0
California State Programs	1	0	1	0	1	0	2	0
Chamber Of Commerce Office	0	0	1	0	0	0	1	0
City Office	3	0	5	0	4	2	6	0
County Of Lake	3	0	6	0	1	1	8	0
DMV COVER Field Office	431	0	827	0	777	78	1,211	0
DMV COVER Renewal	185	0	366	0	340	49	502	0
Federal Program Office	7	0	37	0	26	1	43	0
Fire District Office	1	0	1	0	0	1	1	0
Group/Association Of Voters	7	0	2	0	2	2	8	0
Lake Co Registrar Of Voters	79	0	205	0	123	21	263	0
Lake County Library Sites	6	0	4	0	3	4	6	0
Other Designated Agencies	77	0	136	0	95	29	185	0
Other Received by Mail	27	0	164	0	46	20	173	0
Political Group	3	0	18	0	12	3	18	0
Political Pky/Central Com	2	0	6	0	5	3	5	0
Public	1,752	0	2,012	0	1,598	911	2,876	0
Public Assistance Agency	15	0	24	0	17	4	35	0
Senior Center	1	0	3	0	2	0	4	0
State Of California Office	3	0	4	0	1	1	6	0
Student	270	0	141	0	108	113	299	0
United States Post Office	80	0	70	0	58	16	134	0
UOCAVA	18	0	37	0	24	17	38	0
Voter/Individual	2	0	4	0	2	0	6	0
<b>Total:</b>	<b>2,981</b>	<b>53</b>	<b>4,080</b>	<b>191</b>	<b>3,732</b>	<b>1,325</b>	<b>5,851</b>	<b>65</b>

Active Voter Counts by Party over Date Range

	DEM	REP	AI	GRN	LIB	PF	MISC	N-P	Total
PRIOR	13,457	10,185	1,517	275	404	187	103	8,366	34,494
DIFFERENCE	906	971	187	17	48	32	75	419	2,655
CURRENT	14,363	11,156	1,704	292	452	219	178	8,785	37,149

Active Voter Counts by Language over Date Range

	English	Spanish	Other	Chinese	Japanese	Korean	Tagalog	Vietnamese	Hindi	Khmer
PRIOR	34,296	180	0	3	1	3	1	2	3	1
DIFFERENCE	2,613	38	2	1	0	0	0	1	0	0
CURRENT	36,909	218	2	4	1	3	1	3	3	1

Active Voter Counts by VBM Program Status over Date Range

	(none)	Perm VBM	Mil-US	Mil-Over	Over-Civ	P-Over-Civ	Total
PRIOR	8,576	25,700	47	34	113	24	34,494
DIFFERENCE	76	2,526	6	8	30	9	2,655
CURRENT	8,652	28,226	53	42	143	33	37,149

QUESTION NO. 4

#4



**COUNTY OF LAKE**  
**Registrar of Voters Office**  
**Courthouse – 255 North Forbes Street**  
**Lakeport, CA 95453**  
**Telephone 707/263-2372**  
**FAX 707/263-2742**

**MARIA VALADEZ**  
**Registrar of Voters**

**LOURDES PANTALEON**  
**Deputy Registrar of Voters**

**APPLICATION TO PURCHASE/VIEW VOTER REGISTRATION INFORMATION**

*(ALL INFORMATION FURNISHED ON THIS APPLICATION IS SUBJECT TO APPROVAL AND VERIFICATION)*

Pursuant to Elections Code §§ 2188 and 2194, voter registration information is available ONLY to persons or groups who meet specific conditions, including the completion of a written application. All requests to view, purchase, or use voter registration information must be accompanied by this application and a clear copy of your photo identification (Driver's license or State ID card).

1. **Full name of Applicant** (the Person, Business, Organization or Committee for whom application is submitted. If Committee, state name of beneficiary):  
 \_\_\_\_\_

2. **Daytime Telephone Number** ( \_\_\_\_\_ ) Ext. \_\_\_\_\_

3. **Business Address of Applicant** (including Organization or Committee)  
 Number and Street \_\_\_\_\_  
 City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_

4. **Mailing Address** (if different from Applicant's Business, Organization or Committee address):  
 Street/P.O. Box \_\_\_\_\_  
 City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_

5. **What type(s) of Business, Organization or Committee are you representing?**  
 Governmental     Political     Private Political Vendor     Media     Governmental  
 Educational     Other (List) \_\_\_\_\_

6. **For what purpose(s) is this information being requested?**  
 Election (Which?) \_\_\_\_\_     Governmental     Legal     Recall  
 Proposed Ballot Measure     Initiative/Referendum     Scholarly Research     Political Research  
 Other (List) \_\_\_\_\_

Explain fully your intended use of this voter registration information. If more space is needed, continue on a separate sheet of paper. (\*\*NOTE: Use of voter registration files is limited. See reverse for prohibitions.\*\*)  
 \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

7. **For what location(s) do you want registration information?**  
 County (includes all jurisdictions)     Supervisorial District \_\_\_\_\_     City (or cities) \_\_\_\_\_  
 School District(s) \_\_\_\_\_     Special District(s) \_\_\_\_\_  
 Other \_\_\_\_\_

8. **Date Required:** Month \_\_\_\_\_ Day \_\_\_\_\_ Year \_\_\_\_\_  
 NOTE: Please allow a minimum of 3-three working days from the date in which the order was received.

9. **Shipping Destination:**  
 Name \_\_\_\_\_ Telephone Number ( \_\_\_\_\_ ) \_\_\_\_\_  
 Address \_\_\_\_\_  
 City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_

10. **Method of Shipment:** (Recipient must pay all shipping fees.)  
 Mail (provide postage paid mailing envelope)     Pick-up (no additional fee required)  
 Fed-Ex, UPS or other delivery service (Requestor to supply FedEx/UPS shipping materials or account #):  
 Courier Name \_\_\_\_\_ Account # \_\_\_\_\_

11. **ELECTRONIC DATA** (All electronic requests incur \$20 setup fee + \$4 CD-R fee) (customer may provide CD-R(s) for CD-R savings)

- VOTER FILE:** Cost is based on current registration – Call for pricing (\$6.75 per 1,000 names + \$20 setup fee + \$4 CD-R fee) (Minimum Order \$30)
  - Active Voters* **or**  *Permanent Vote By Mail Voters Only*
  - ALL Political Parties* **or**  *List Political Party (Parties)* \_\_\_\_\_
  - Include VOTER HISTORY: (All Voter History: \$100; Voter History for up to 5-five Elections: \$25 - Last 5 provided unless specified.)
    - ALL History* **or**  *5-five (specify)* \_\_\_\_\_
- VOTE BY MAIL VOTER DATA:** (\$100 + \$20 setup fee + \$4 CD-R fee per run) (there is no additional setup fee for multiple runs if ordered at same time)
 

NOTE: Vote by Mail data is processed on Tuesday or Thursday each week. Select either date for next day mailing.

SELECT BY:  Issue Date (AVMJ010) **or**  Return Date (AVMJ011)

1st Run - Specify Run Date _____	2nd Run – Specify Run Date _____
3rd Run - Specify Run Date _____	4th Run – Specify Run Date _____
5th Run - Specify Run Date _____	6th Run – Specify Run Date _____
- PRECINCT/DISTRICT FILE:** (\$100 + \$20 setup fee + \$4 CD-R fee) (there is no setup fee or CD-R fee if purchased with voter file)
- STREET INDEX FILE:** (\$100 + \$20 setup fee + \$4 CD-R fee) (there is no setup fee or CD-R fee if purchased with voter file)
- STATEMENT OF VOTE:** (\$100 + \$20 setup fee + \$4 CD-R fee)
- OTHER:** \_\_\_\_\_  
(Please specify DFM Job or Report Name; cost to be determined at time of purchase/request)

12. **CUSTOMER LIST/INDEX OF VOTERS**

- ALL Active Voters* **or**  *Permanent Vote By Mail Voters Only*
  - ALL Political Parties* **or**  *List Political Party (Parties)* \_\_\_\_\_
- CANDIDATES - ELECTION YEAR** (Per EC 2184: \$0.50 per 1,000 names for first 2-two copies, THEN Non-Election Year Fees Apply)
  - Alpha* **or**  *Precinct Index*
- NON-ELECTION YEAR** (\$5.00 per 1,000 names + \$10 setup fee) - (Minimum Order \$15)
  - Alpha* **or**  *Precinct Index*

13. **LABELS**

- \$30 per 1,000 names + \$20 setup fee
  - Household* **or**  *Individual Voter*
    - ALL Active Voters* **or**  *Permanent Vote By Mail Voters Only*
    - ALL Political Parties* **or**  *List Political Party (Parties)* \_\_\_\_\_

**INFORMATION FURNISHED ON THIS APPLICATION IS SUBJECT TO VERIFICATION**

The Applicant hereby agrees that the aforementioned information set forth in Affidavits of Registration of voters and any information derived from said Elections Electronic Media, Printed Lists, or Printed Labels, (hereinafter collectively referred to as "registration information") will be used **ONLY FOR ELECTIONS OR GOVERNMENTAL PURPOSES**, or research as defined by Title 2, Division 7, Article 1, Section 19003 of the California Code of Regulations, and Elections Code section 2194 and Government Code section 6254.4.

The Applicant (as principal or agent) further agrees NOT to sell, lease, loan or deliver possession of the registration information, or a copy thereof, or any portion thereof, in any form or format, to any person, organization or agency without first receiving written authorization from the California Secretary of State or the Lake County Registrar of Voters to release such registration information.

**WILLFUL VIOLATION OF THESE PROVISIONS IS A MISDEMEANOR (ELECTIONS CODE SECTION 18109).**

In addition, subject to provisions of Title 2, Division 7, Article 1, Sections 19001 through 19007 of the California Code of Regulations, the Applicant agrees to pay to the State of California, as compensation for any UNAUTHORIZED USE OF EACH INDIVIDUAL'S REGISTRATION INFORMATION, an amount equal to the sum of fifty cents (\$.50) multiplied by the number of times each registration record is used by the Applicant in an unauthorized manner.

"I certify under penalty of perjury, under the laws of the State of California, that all of the above information provided by me is true and correct."

Signature \_\_\_\_\_ Date \_\_\_\_\_

Print Name \_\_\_\_\_ Applicant/Agent Driver's License No. \_\_\_\_\_ If not California, list state name.

Residence Address \_\_\_\_\_ Telephone Number (\_\_\_\_\_) \_\_\_\_\_  
City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_

Title or Position \_\_\_\_\_

QUESTION NO. 5

#5

November 3, 2020 – Public Notices & Media Releases:

<http://www.lakecountyca.gov/Government/Directory/ROV/Upcoming/110320.htm>

Central Counting Place for the Official Ballots:

<http://www.lakecountyca.gov/Assets/Departments/RegistrarOfVoters/Nov+2020+Election/Media+Releases/CountingPlace.pdf>

#5

**Diane Fridley**

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**From:** Diane Fridley  
**Sent:** Monday, November 2, 2020 12:45 PM  
**To:** 'Elizabeth Larson'; 'editor@lakeconews.com'  
**Subject:** Media Release Notice of Central Counting Place  
**Attachments:** Media-Public Notice of Central Counting Place 11.3.2020.doc

Hi Elizabeth,

Attached please find a Media Release titled "**NOTICE OF CENTRAL COUNTING PLACE FOR THE OFFICIAL BALLOTS CAST IN THE NOVEMBER 3, 2020 GENERAL ELECTION**".

Thank you,

Maria Valadez  
Registrar of Voters  
County of Lake  
255 N Forbes Street  
Lakeport CA 95453  
707/263-2372

By: Diane C Fridley





**COUNTY OF LAKE**  
**Registrar of Voters Office**  
Courthouse – 255 North Forbes Street  
Lakeport, CA 95453  
Telephone 707/263-2372  
FAX 707/263-2742

**MARIA VALADEZ**  
Registrar of Voters

**LOURDES PANTALEON**  
Deputy Registrar of Voters

## **MEDIA RELEASE/PUBLIC NOTICE**

**FOR ADDITIONAL INFORMATION PHONE 707/263-2372**  
CONTACT: MARIA VALADEZ, REGISTRAR OF VOTERS  
OR LOURDES PANTALEON, DEPUTY REGISTRAR OF VOTERS

**Re: NOTICE OF CENTRAL COUNTING PLACE**  
**FOR THE OFFICIAL BALLOTS CAST IN THE**  
**NOVEMBER 3, 2020 GENERAL ELECTION**

**THE LAKE COUNTY REGISTRAR OF VOTERS OFFICE HEREBY GIVES NOTICE** pursuant to Section 12109 of the Elections Code, State of California, that the central counting place of the official ballots of all voting precincts for the General Election, will be in the Registrar of Voters office, 2nd Floor, Room 209, Courthouse, Lakeport. The tallying of the official ballots will commence on Election Day, November 3, 2020, after the close of the polls at 8:00 p.m.

Maria Valadez  
Registrar of Voters

## Lourdes Pantaleon

---

**From:** Lourdes Pantaleon  
**Sent:** Monday, November 2, 2020 12:51 PM  
**To:** Cheryl Johns  
**Subject:** Media Release  
**Attachments:** Media-Public Notice of Central Counting Place 11.3.2020 pdf.pdf

Good afternoon Cheryl,

Can you please add this attachment to our website under Media Releases.

Thank you.

**Lourdes Pantaleon**  
**Deputy Registrar of Voters**

County of Lake  
255 N Forbes St, Room 209  
Lakeport, CA 95453  
P: 707-263-2372  
F: 707-263-2742

[lourdes.pantaleon@lakecountyca.gov](mailto:lourdes.pantaleon@lakecountyca.gov)



*Lake County, California*

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**Diane Fridley**

---

**From:** Elizabeth Larson <elaron@lakeconews.com>  
**Sent:** Monday, November 2, 2020 10:09 PM  
**To:** Diane Fridley  
**Cc:** editor@lakeconews.com  
**Subject:** [EXTERNAL] Re: Media Release Notice of Central Counting Place

Thank you!

On Mon, Nov 2, 2020 at 12:44 PM Diane Fridley <[Diane.Fridley@lakecountyca.gov](mailto:Diane.Fridley@lakecountyca.gov)> wrote:

Hi Elizabeth,

Attached please find a Media Release titled "**NOTICE OF CENTRAL COUNTING PLACE FOR THE OFFICIAL BALLOTS CAST IN THE NOVEMBER 3, 2020 GENERAL ELECTION**".

Thank you,

Maria Valadez  
Registrar of Voters  
County of Lake  
255 N Forbes Street  
Lakeport CA 95453  
707/263-2372

By: Diane C Fridley

--  
Elizabeth Larson  
Editor and publisher  
Lake County News  
[www.lakeconews.com](http://www.lakeconews.com)  
Twitter: @ERLarson, @LakeCoNews  
Office: [707-274-9904](tel:707-274-9904)  
Cell: [707-245-4550](tel:707-245-4550)

*"For all that is secret will eventually be brought into the open, and everything that is concealed will be brought to light and made known to all." – Luke 8:17*

*"Strength is for service, not status. – Romans 15:1, The Message*

*"The wicked flee when no man pursueth: but the righteous are bold as a lion." – Proverbs 28:1*

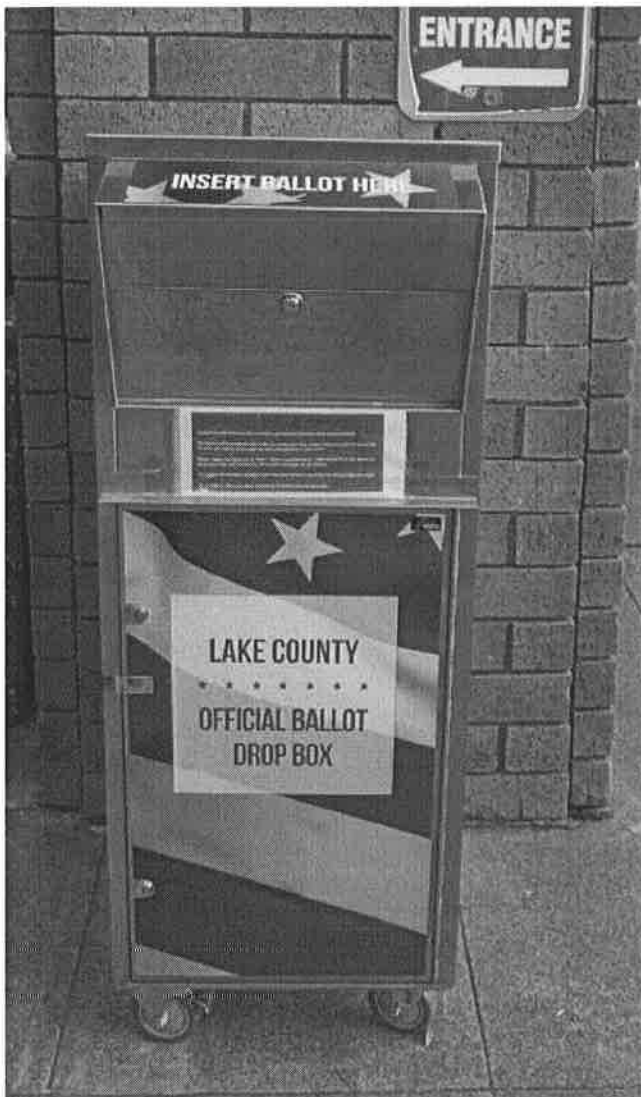
# LAKE COUNTY NEWS

Award winning independent local news from the shores of Clear Lake, California | [www.lakeconews.com](http://www.lakeconews.com)



## Election 2020: Elections office ready to welcome in-person voters on Tuesday

ELIZABETH LARSON POSTED ON TUESDAY, 03 NOVEMBER 2020 01:52  
03 NOVEMBER 2020



**A county ballot drop box in front of the Lake County Courthouse in Lakeport, California. Photo by Elizabeth Larson/Lake County News.**

LAKE COUNTY, Calif. – While thousands of Lake County residents already have returned their ballots early this year – a measure encouraged due to the pandemic – county elections officials plan to welcome thousands more to local polling places for Tuesday’s presidential election.

Polls are open from 7 a.m. to 8 p.m.

There are

22 polling sites

(<http://www.lakecountyca.gov/Assets/Departments/RegistrarOfVoters/Nov+2020+Election/PollingPlaces>) around the county, the same number as in past years, with Registrar of Voters Maria Valadez implementing COVID-19 safety guidelines to ensure voters can safely vote in-person.

The Lake County Registrar of Voters Office is using the Board of Supervisors' chambers on Tuesday for voting activities in order to allow for social distancing for voters wanting to cast their ballot at the courthouse.

In addition, ballot drop boxes will be available until 8 p.m. Tuesday at the following locations:

- Lake County Courthouse, 255 N. Forbes St., Lakeport.
- Lakeport Library, 1425 N High St., Lakeport.
- Middletown Library, 21267 Calistoga Road.
- Redbud Library, 14785 Burns Valley Road, Clearlake.
- Upper Lake Library, 310 Second St.

Ballots must be delivered to the drop boxes, the registrar's office at the courthouse or to the polls by 8 p.m. Tuesday.

Any ballots being returned by mail must be postmarked on Tuesday to be accepted and counted; Valadez suggests people mailing their ballots request postal workers postmark them in their presence to ensure they meet the requirements.

This year, state law is allowing for ballots that are postmarked to be accepted up until 17 days after Election Day.

For those who missed the October deadline for voter registration, this year for the first time they may participate in "same day" – or conditional – voter registration. This registration process can take place at the county elections office, polling place or vote center. Those ballots will be processed and counted once the county elections office has completed the voter registration verification process.

The Registrar of Voters Office, located in Room 209 on the second floor of the Lake County Courthouse in Lakeport, will be the central counting place of the official ballots of all voting precincts for the General Election, Valadez said.

Processing of vote by mail, or absentee, ballots returned early began on Oct. 13, and scanning and adjudicating of the official ballots commenced on Monday, Oct. 26, the Registrar of Voters Office

reported.

Valadez said tallying of the official ballots will begin after the close of the polls at 8 p.m. Tuesday, at which time her office will begin to release reports on results throughout the night, which will be posted on her website.

However, as has been the case in past elections, results are not expected to be complete on Tuesday night, and the election won't be certified and considered final until the rigorous official canvass process is completed.

County elections offices have until Dec. 1 to report their final reports for the presidential race and Dec. 4 for other state and federal contests, the Secretary of State's Office reported.

For more information, visit the Lake County Registrar of Voters website (<http://www.lakecountyca.gov/Government/Directory/ROV/Upcoming/110320.htm>)e.

***Email Elizabeth Larson at [elarson@lakeconews.com](mailto:elarson@lakeconews.com) (mailto:elarson@lakeconews.com). Follow her on Twitter, @ERLarson, or Lake County News, @LakeCoNews.***

QUESTION NOS. 14, 15, 16, 17





**ALEX PADILLA** | SECRETARY OF STATE | STATE OF CALIFORNIA  
ELECTIONS DIVISION

1500 11<sup>th</sup> Street, 5<sup>th</sup> Floor, Sacramento, CA 95814 | Tel 916.657.2166 | Fax 916.653.3214 | [www.sos.ca.gov](http://www.sos.ca.gov)

September 20, 2019

County Clerk/Registrar of Voters (CC/ROV) Memorandum # 19084

TO: All County Clerks/Registrar of Voters

FROM: Jerry Jimenez  
Public Information Officer, Office of Election Cybersecurity

RE: Primary Election: Recommendations of Minimizing Cyber Risk

As part of the ongoing process to protect our elections, the California Secretary of State's office has developed a "Recommendations for Minimizing Cyber Risk" document, which election officials are encouraged to share with political parties, committees and candidates.

The recommendations included in the document are intended to reduce the likelihood and severity of cyberattacks in the March 3, 2020, Presidential Primary Election.

To help protect the integrity of our elections, the Secretary of State's office is requesting that you provide the document to candidates during the:

- Signatures-in-Lieu of Filing Fees Period (Sept. 12 – Nov. 6, 2019)
- Declaration of Candidacy and Nomination Period (Nov. 11 – Dec. 6, 2019)

The "Recommendations for Minimizing Cyber Risk" document is attached here, along with an order form for requesting copies.

Your assistance in distributing this information will go a long way in maintaining public trust in our elections and minimizing the risk of cyber threats.

If you have questions, please feel free to contact me at (916) 695-1654 or [jjimenez@sos.ca.gov](mailto:jjimenez@sos.ca.gov).



## **Recommendations for Minimizing Cyber Risk**

Political parties, candidates and elections administrators cannot be alone in the fight against malicious actors who seek to undermine our elections. As political campaigns and organizations are targets of cyber threats, they too have a role and responsibility in defending our democracy. **Your actions are critical in maintaining public trust in our elections** and minimizing the threat of cyber incidents.

As an integral part of protecting our democracy, I wish to remind you to **take preventative measures to reduce the likelihood and severity of cyber incidents.**

### **Recognized best practices for minimizing risk:**

- (1)** Establish an information security framework that allows your team to identify threats, create safeguards, detect incidents, respond quickly, and recover with resilience;
- (2)** Control access to data and information systems; monitor vendors, contractors, and employees; and know what your users are doing with your data;
- (3)** Beware of social engineering attempts, such as phishing emails, aimed at acquiring confidential or personal information from phone, email or other communications;
- (4)** Educate your employees and volunteers on cybersecurity best practices, including how to recognize a phishing email, creating and maintaining strong passwords or passphrases, utilizing two-factor authentication, and avoiding dangerous applications;
- (5)** Ensure your software and hardware security is up to date and properly configured;
- (6)** Monitor user activity;
- (7)** Back up your data;
- (8)** Run regular security audits, assessments, and penetration testing; and
- (9)** Monitor social media for false or misleading election information. Report such posts to social media platforms and the **California Secretary of State's Office of Election Cybersecurity** at [cybersecurity.sos.ca.gov](https://cybersecurity.sos.ca.gov).

QUESTION NO. 50

# July 3, 2020

## STATEMENT OF REGISTRATION CERTIFICATION

By indicating readiness for the Report of Registration in your county Election Management System, you are attesting to the following certification in regards to your voter registration.

### STATEMENT OF REGISTRATION

I,           Maria Valadez          , Registrar of Voters, or County Clerk, of the County of           Lake          , do hereby certify pursuant to Elections Code section 2187(c)(7) that the actions listed below have been completed and the required voter registration information is available in the California Statewide Voter Registration Database (VoteCal) so the Secretary of State can compile a statewide listing of voters: ***We performed a synchronization (sync) check no more than 30 days prior to***

***indicating readiness and corrected voter registration record differences identified by the sync check.***

- ***We ensured that all precincts are associated with the following districts: congressional, senate, assembly, board of equalization, supervisorial, and either city or unincorporated area.***
- ***We entered all online and paper affidavits into the county EMS with a registration date up to and including, July 3, 2020.***

DATED:

Registrar of Voters or County Clerk:

This   9th   day of   July  , 2020

PUT SEAL HERE



Contact telephone #:

707-263-2372

Email address:

maria.valadez@lakecountyca.gov



**Secretary of State**

Elections Division  
California Official Statewide Voter Registration System (VoteCal)

Lake  
07/03/2020

**Report of Registration County Summary**

**Report Filter(s):**

**County:** Lake

**ROR Date:** 07/03/2020

	Registered Voters	Democratic	Republican	American Independent	Green	Libertarian	Peace and Freedom	Unknown	Other	No Party Preference
County Supervisorial 1	7,223	2,709	2,299	325	50	104	42	15	10	1,669
County Supervisorial 2	5,325	2,197	1,161	243	46	57	40	11	11	1,559
County Supervisorial 3	6,696	2,562	1,934	332	65	58	45	4	16	1,660
County Supervisorial 4	7,521	2,907	2,454	291	54	89	28	5	20	1,673
County Supervisorial 5	7,346	2,931	2,183	285	55	77	27	5	13	1,770
<b>Total</b>	<b>34,111</b>	<b>13,306</b>	<b>10,031</b>	<b>1,476</b>	<b>270</b>	<b>385</b>	<b>182</b>	<b>40</b>	<b>70</b>	<b>8,351</b>
US Congressional 3	15,954	6,269	4,262	746	145	156	116	20	34	4,206
US Congressional 5	18,157	7,037	5,769	730	125	229	66	20	36	4,145
<b>Total</b>	<b>34,111</b>	<b>13,306</b>	<b>10,031</b>	<b>1,476</b>	<b>270</b>	<b>385</b>	<b>182</b>	<b>40</b>	<b>70</b>	<b>8,351</b>
State Senate 2	34,111	13,306	10,031	1,476	270	385	182	40	70	8,351
<b>Total</b>	<b>34,111</b>	<b>13,306</b>	<b>10,031</b>	<b>1,476</b>	<b>270</b>	<b>385</b>	<b>182</b>	<b>40</b>	<b>70</b>	<b>8,351</b>
State Assembly 4	34,111	13,306	10,031	1,476	270	385	182	40	70	8,351
<b>Total</b>	<b>34,111</b>	<b>13,306</b>	<b>10,031</b>	<b>1,476</b>	<b>270</b>	<b>385</b>	<b>182</b>	<b>40</b>	<b>70</b>	<b>8,351</b>
State Board of Equalization 2	34,111	13,306	10,031	1,476	270	385	182	40	70	8,351
<b>Total</b>	<b>34,111</b>	<b>13,306</b>	<b>10,031</b>	<b>1,476</b>	<b>270</b>	<b>385</b>	<b>182</b>	<b>40</b>	<b>70</b>	<b>8,351</b>
Clearlake	6,353	2,617	1,383	302	55	68	54	14	14	1,846
Lakeport	2,741	1,096	861	104	18	29	7	3	7	616
Unincorporated Area	25,017	9,593	7,787	1,070	197	288	121	23	49	5,889
<b>Total</b>	<b>34,111</b>	<b>13,306</b>	<b>10,031</b>	<b>1,476</b>	<b>270</b>	<b>385</b>	<b>182</b>	<b>40</b>	<b>70</b>	<b>8,351</b>

# September 4, 2020 STATEMENT OF REGISTRATION CERTIFICATION

By indicating readiness for the Report of Registration in your county Election Management System, you are attesting to the following certification in regards to your voter registration.

## STATEMENT OF REGISTRATION

I, Maria Valadez, Registrar of Voters, or County Clerk, of the County of Lake, do hereby certify pursuant to Elections Code section 2187(c)(7) that the actions listed below have been completed and the required voter registration information is available in the California Statewide Voter Registration Database (VoteCal) so the Secretary of State can compile a statewide listing of voters:

- ***We performed a synchronization (sync) check no more than 30 days prior to indicating readiness and corrected voter registration record differences identified by the sync check.***
- ***We ensured that all precincts are associated with the following districts: congressional, senate, assembly, board of equalization, supervisorial, and either city or unincorporated area.***
- ***We entered all online and paper affidavits into the county EMS with a registration date up to and including, September 4, 2020.***

Maria Valadez

DATED:

Registrar of Voters or County Clerk:

This 14th day of September, 2020

By: Loures Pantaleon, Deputy

PUT SEAL HERE

Contact telephone #:

707/263-2372

Email address:

lourdespantaleon@lakecountyca.gov





**Secretary of State**  
 Elections Division  
 California Official Statewide Voter Registration System (VoteCal)

Lake  
 09/04/2020

**Report of Registration County Summary**

Report Filter(s):

County: Lake

ROR Date: 09/04/2020

	Registered Voters	Democratic	Republican	American Independent	Green	Libertarian	Peace and Freedom	Unknown	Other	No Party Preference
County Supervisorial 1	7,502	2,798	2,404	354	51	111	39	13	12	1,720
County Supervisorial 2	5,594	2,300	1,244	259	46	60	44	11	10	1,620
County Supervisorial 3	6,954	2,655	2,042	346	69	68	49	5	25	1,695
County Supervisorial 4	7,776	2,993	2,565	317	56	97	33	7	25	1,683
County Supervisorial 5	7,590	3,044	2,259	305	55	83	32	6	14	1,792
<b>Total</b>	<b>35,416</b>	<b>13,790</b>	<b>10,514</b>	<b>1,581</b>	<b>277</b>	<b>419</b>	<b>197</b>	<b>42</b>	<b>86</b>	<b>8,510</b>
US Congressional 3	16,647	6,508	4,525	790	153	176	120	22	50	4,303
US Congressional 5	18,769	7,282	5,989	791	124	243	77	20	36	4,207
<b>Total</b>	<b>35,416</b>	<b>13,790</b>	<b>10,514</b>	<b>1,581</b>	<b>277</b>	<b>419</b>	<b>197</b>	<b>42</b>	<b>86</b>	<b>8,510</b>
State Senate 2	35,416	13,790	10,514	1,581	277	419	197	42	86	8,510
<b>Total</b>	<b>35,416</b>	<b>13,790</b>	<b>10,514</b>	<b>1,581</b>	<b>277</b>	<b>419</b>	<b>197</b>	<b>42</b>	<b>86</b>	<b>8,510</b>
State Assembly 4	35,416	13,790	10,514	1,581	277	419	197	42	86	8,510
<b>Total</b>	<b>35,416</b>	<b>13,790</b>	<b>10,514</b>	<b>1,581</b>	<b>277</b>	<b>419</b>	<b>197</b>	<b>42</b>	<b>86</b>	<b>8,510</b>
State Board of Equalization 2	35,416	13,790	10,514	1,581	277	419	197	42	86	8,510
<b>Total</b>	<b>35,416</b>	<b>13,790</b>	<b>10,514</b>	<b>1,581</b>	<b>277</b>	<b>419</b>	<b>197</b>	<b>42</b>	<b>86</b>	<b>8,510</b>
Clearlake	6,659	2,731	1,483	319	56	73	55	13	13	1,916
Lakeport	2,839	1,131	912	115	18	32	10	5	7	609
Unincorporated Area	25,918	9,928	8,119	1,147	203	314	132	24	66	5,985
<b>Total</b>	<b>35,416</b>	<b>13,790</b>	<b>10,514</b>	<b>1,581</b>	<b>277</b>	<b>419</b>	<b>197</b>	<b>42</b>	<b>86</b>	<b>8,510</b>

# October 19, 2020

## STATEMENT OF REGISTRATION CERTIFICATION

By indicating readiness for the Report of Registration in your county Election Management System, you are attesting to the following certification in regards to your voter registration.

### STATEMENT OF REGISTRATION

I, Maria Valadez, Registrar of Voters, or County Clerk, of the County of Lake, do hereby certify pursuant to Elections Code section 2187(c)(7) that the actions listed below have been completed and the required voter registration information is available in the California Statewide Voter Registration Database (VoteCal) so the Secretary of State can compile a statewide listing of voters: ***We performed a synchronization (sync) check no more than 30 days prior to indicating readiness and corrected voter registration record differences identified by the sync check.***

- ***We ensured that all precincts are associated with the following districts: congressional, senate, assembly, board of equalization, supervisorial, and either city or unincorporated area.***
- ***We entered all online and paper affidavits into the county EMS with a registration date up to and including, October 19, 2020.***

DATED:

This 27th day of October, 2020

Registrar of Voters or County Clerk:



PUT SEAL HERE



Contact telephone #:

707/263-2372

Email address:

lourdes.pantaleon@lakecountyca.gov





Secretary of State

Elections Division  
California Official Statewide Voter Registration System (VoteCal)

Lake  
10/19/2020

Report of Registration County Summary

Report Filter(s):

County: Lake

ROR Date: 10/19/2020

	Registered Voters	Democratic	Republican	American Independent	Green	Libertarian	Peace and Freedom	Unknown	Other	No Party Preference
County Supervisorial 1	7899	2,926	2,532	399	55	123	47	16	14	1,800
County Supervisorial 2	5878	2,411	1,356	281	48	59	48	21	13	1,651
County Supervisorial 3	7324	2,771	2,178	366	73	83	54	16	29	1,766
County Supervisorial 4	8140	3,108	2,734	338	57	100	36	12	31	1,742
County Supervisorial 5	7948	3,182	2,376	329	59	92	35	11	17	1,863
Total	37,262	14,398	11,180	1,713	292	457	220	76	104	8,822
US Congressional 3	17,523	6,809	4,864	861	161	194	136	45	58	4,429
US Congressional 5	19,000	7,589	6,316	852	131	263	84	31	46	4,393
Total	37,189	14,398	11,180	1,713	292	457	220	76	104	8,822
State Senate 2	37,262	14,398	11,180	1,713	292	457	220	76	104	8,822
Total	37,262	14,398	11,180	1,713	292	457	220	76	104	8,822
State Assembly 4	37,262	14,398	11,180	1,713	292	457	220	76	104	8,822
Total	37,262	14,398	11,180	1,713	292	457	220	76	104	8,822
State Board of Equalization 2	37,262	14,398	11,180	1,713	292	457	220	76	104	8,822
Total	37,262	14,398	11,180	1,713	292	457	220	76	104	8,822
Clearlake	7,034	2,858	1,620	352	61	75	65	24	16	1,963
Lakeport	2,993	1,170	971	122	19	34	9	7	9	662
Unincorporated Area	27,235	10,370	8,585	1,239	212	348	146	45	79	6,207
Total	37,262	14,398	11,180	1,713	292	457	220	76	104	8,822

QUESTION NO. 51

Search Results

Created Date	Task ID	Task Description	Status
10/29/2020		Import Official List - 11/03/2020 - November 3, 2020, General Election	Completed
10/29/2020		Import Official List - 11/03/2020 - November 3, 2020, General Election	Completed
10/29/2020	82059	Official List Extract - 11/03/2020 - November 3, 2020, General Election	Completed
10/29/2020		Import Official List - 11/03/2020 - November 3, 2020, General Election	Completed
10/27/2020	81871	Official List Extract - 11/03/2020 - November 3, 2020, General Election	Completed

Lake County Registrar of Voters  
255 N Forbes St, Rm 209  
Lakeport, CA 95453  
707 / 263 - 2372 Fax 707 / 263 - 2742

#51

#53

November 3, 2020 – Statement of Vote:

<http://www.lakecountycalifornia.gov/Assets/Departments/RegistrarOfVoters/Statement+of+Vote/110320.pdf?method=1>

QUESTION NO. 53

#53

# HELP AMERICA VOTE ACT OF 2002 CERTIFICATION OF ELECTIONS OFFICIAL

STATE OF CALIFORNIA

COUNTY OF \_\_\_\_\_ LAKE

} ss.

Pursuant to the statewide voter registration list requirements set forth in the Help America Vote Act of 2002 (HAVA) 52 U.S.C. § 21083),

I, Maria Valadez, County Clerk/Registrar of Voters for the County of LAKE, State of California, hereby certify that I complied with all provisions of Chapter 2 of Division 7 of Title 2 of the California Code of Regulations for the Federal election held on the 3<sup>rd</sup> day of November 2020, in the County of LAKE, State of California and all elections consolidated therewith.

I hereby set my hand and official seal this 1st day of December, 2020, at the County of LAKE



*Maria Valadez*

County Clerk/Registrar of Voters  
County of LAKE  
State of California

**CERTIFICATION OF  
COUNTY CLERK/REGISTRAR OF VOTERS OF THE  
RESULTS OF THE CANVASS  
OF THE NOVEMBER 3, 2020,  
GENERAL ELECTION**

STATE OF CALIFORNIA

COUNTY OF LAKE

} ss.

I, María Valdez, County Clerk/Registrar of Voters of County  
of LAKE, do hereby certify that, in pursuance of the provisions of Elections Code  
section 15300, et seq., I did canvass the results of the votes cast in the General Election held in said  
County on November 3, 2020, for measures and contests that were submitted to the vote of the voters,  
and that the Statement of Votes Cast, to which this certificate is attached is full, true, and correct.

I hereby set my hand and official seal this 1st day of December, 2020, at the  
County of LAKE



María Valdez  
County Clerk/Registrar of Voters  
County of LAKE  
State of California

Official Canvass of Votes for Qualified Presidential Write-In Candidates  
County Vote Reporting Form  
November 3, 2020, General Election

County Name LAKE  
Contact Name Maria Valadez Contact Phone 707/263-2372

As part of the Statement of Vote, you must report the total number of votes received for each qualified write-in candidate. If your Official Canvass does not provide a breakdown of this information, please use this form to report the votes to the Secretary of State, Elections Division.

**All qualified write-in presidential candidates are listed.  
For each candidate, please indicate total number of votes, zero votes, or N/A.**

---

**WRITE-IN PRESIDENT OF THE UNITED STATES**

BRIAN CARROLL/AMAR PATEL	<u>2</u>
MARK CHARLES/ADRIAN WALLACE	<u>5</u>
JOSEPH KISHORE/NORISSA SANTA CRUZ	<u>1</u>
BROCK PIERCE/KARLA BALLARD	<u>2</u>
JESSE VENTURA/CYNTHIA MCKINNEY	<u>2</u>

Please return this document with your Official Canvass and Statement of Vote for President no later than December 1, 2020 to:

Kirsten Larsen  
Secretary of State, Elections Division  
1500 11<sup>th</sup> Street, Fifth Floor  
Sacramento, CA 95814  
PHONE: (916) 653-9154  
FAX: (916) 651-6460  
[post-electionreporting@sos.ca.gov](mailto:post-electionreporting@sos.ca.gov)

If you have any questions, please call Kirsten at the number listed above. Thank you.



County Voting System Incident Report  
November 3, 2020, General Election

County Name LAKE  
Contact Name Maria Valadez Contact Phone 707/263-2372

---

County **did not** have vote reporting incidents related to the November 3, 2020, General Election.

Date December 1, 2020

County Clerk/Registrar of Voters Maria Valadez

County of LAKE

-OR-

County had vote reporting incidents related to the November 3, 2020, General Election.

Date \_\_\_\_\_

County Clerk/Registrar of Voters \_\_\_\_\_

County of \_\_\_\_\_

You may use the attached Voting System Incident Report Template – 2020 General Election (Excel Workbook) to report these incidents.

Please return this document with your Official Canvass and Statement of Vote **no later than December 4, 2020** to:

Kirsten Larsen  
Secretary of State, Elections Division  
1500 11<sup>th</sup> Street, Fifth Floor  
Sacramento, CA 95814  
PHONE: (916) 653-9154  
FAX: (916) 651-6460  
[post-electionreporting@sos.ca.gov](mailto:post-electionreporting@sos.ca.gov)

If you have any questions, please call Kirsten at the number listed above. Thank you.



**COUNTY OF LAKE**  
**Office of the Registrar**  
Courthouse - 255 North Forbes Street  
Lakeport, CA 95453  
Telephone 707/263-2372  
FAX 707/263-2742

**MARIA VALADEZ**  
Registrar of Voters

**LOURDES PANTALEON**  
Deputy Registrar of Voters

**CERTIFICATION OF COUNTY ELECTIONS OFFICIAL OF RESULTS  
OF THE OFFICIAL CANVASS OF THE ELECTION RETURNS  
AND THE RESULTS OF THE 1% MANUAL TALLY OF  
RANDOMLY SELECTED VOTING PRECINCTS FOR THE  
NOVEMBER 3, 2020 GENERAL ELECTION**

**STATE OF CALIFORNIA)**

SS

**COUNTY OF LAKE)**

I, MARIA VALADEZ, Registrar of Voters, do hereby certify that, in pursuance of the provisions of Division 15, Chapter 4, Article 5 (commencing with Section 15360) of the Elections Code, State of California, I conducted the 1% public manual tally of randomly selected precincts for the November 3, 2020 General Election commencing on Tuesday, November 17, 2020 and ending on Thursday, November 19, 2020; and the following random voting precincts were selected for the 1% manual tally: VBM-382 (No Election Day votes cast at 382 Voting Precinct - only Provisional/Conditional ballots); 111 (VBM-111); 215 (VBM-215); 311 (VBM-311); VBM-411 (No Election Day votes cast at 411 Voting Precinct - only Provisional/Conditional ballots); and, 561 (VBM-561). The findings of the Canvassing Board, consisting of four (4) members, found that the Hart Verity Voting System tabulated the ballots correctly and the Canvassing Board did not find any discrepancies between the machine count and the manual tally; other than unintentional and explainable human error.

Following the completion of the 1% public manual tally and counting all of the remaining vote-by-mail ballots, approved office provisional/conditional ballots, and approved polls provisional/conditional ballots; I do hereby certify that, in pursuance of the provisions of Division 15, Chapter 4, Article 1 (commencing with Section 15300) of the Elections Code, I did canvass the returns of the votes cast for elective public offices, State propositions, and the local fire district measure; and, I do hereby certify that the Statement of Votes, to which this certification is attached, shows the total number of votes cast in each of the respective voting precincts therein, and that the totals of the respective columns and the totals as shown for each candidate, State propositions, and local fire district measure are full, true and correct.

WITNESS my hand and official seal this 1st day of December, 2020.



*Maria Valadez*

MARIA VALADEZ, REGISTRAR OF VOTERS



**COUNTY OF LAKE**  
**Office of the Registrar of Voters**  
**Courthouse - 255 North Forbes Street**  
**Lakeport, CA 95453**  
**Telephone 707/263-2372**  
**FAX 707/263-2742**

**MARIA VALADEZ**  
**Registrar of Voters**

**LOURDES PANTALEON**  
**Deputy Registrar of Voters**

**CERTIFICATION OF COUNTY ELECTIONS OFFICIAL  
OF RESULTS OF THE CANVASS OF WRITE-IN VOTES  
CAST FOR QUALIFIED CANDIDATES FOR  
PRESIDENT OF THE UNITED STATES AT THE  
NOVEMBER 3, 2020 GENERAL ELECTION**

**STATE OF CALIFORNIA)**

SS

**COUNTY OF LAKE)**

I, **MARIA VALADEZ, REGISTRAR OF VOTERS** of said County, do hereby certify that, in pursuance of the provisions of Division 15, Chapter 4, Article 1 (commencing with Section 15300) of the Elections Code, State of California, I did canvass the returns of the votes cast in the General Election held on November 3, 2020, for elective public offices, and that the Statement Of Write-In Votes Cast For Qualified Candidates for the elective office of President of the United States to which this certification is attached, shows the total number of votes cast for qualified write-in candidates for the elective office of President of the United States in the County of Lake, and that the totals as shown for each qualified write-in candidate are full, true and correct.

WITNESS my hand and official seal this 1st day of December, 2020.

**MARIA VALADEZ, REGISTRAR OF VOTERS**





**COUNTY OF LAKE**

**Office of the Registrar of Voters**

**Courthouse - 255 North Forbes Street**

**Lakeport, CA 95453**

**Telephone 707/263-2372**

**FAX 707/263-2742**

**MARIA VALADEZ**

**Registrar of Voters**

**LOURDES PANTALEON**

**Deputy Registrar of Voters**

**STATEMENT OF WRITE-IN VOTES CAST FOR  
QUALIFIED CANDIDATES FOR THE OFFICE OF  
PRESIDENT OF THE UNITED STATES  
AT THE GENERAL ELECTION  
HELD ON NOVEMBER 3, 2020**

**PRESIDENT OF THE UNITED STATES**

**NAME OF CANDIDATE/PARTY**

**TOTAL VOTES CAST**

**BRIAN CARROLL/AMAR PATEL**

**2**

**MARK CHARLES/ADRIAN WALLACE**

**5**

**JOSEPH KISHORE/NORISSA SANT CRUZ**

**1**

**BROCK PIERCE/KARLA BALLARD**

**2**

**JESSE VENTURA/CYNTHIA MCKINNEY**

**2**

QUESTION NO. 60



April 7, 2021

County Clerk/Registrar of Voters (CC/ROV) Memorandum # 21042

TO: All County Clerks/Registrars of Voters  
FROM: /s/ Susan Lapsley  
Deputy Secretary of State, HAVA and Counsel  
RE: Voting Systems: OVSTA Monthly Update –April 2021

Each month, the Office of Voting Systems Technology Assessment (OVSTA) provides an update on Election Assistance Commission (EAC) and Secretary of State (SOS) activities related to voting technology.

Each update provides information on:

- Voting technology related events that may be of interest.
- Administrative approval requests and denials since the prior month's update.
- Voting technology testing and approval at the SOS office.
- Defect, failure, and fault reports issued since the prior month's update.
- Ballot printing certification requests since the prior month's update.

**Voting Technology Testing and Approvals**

OVSTA receives and reviews applications for certification and approval from voting technology vendors on a continuous basis. The status of current applications can be found below:

System	Type	Applicant	Submission Date	Testing Phase
Pollpad v2.5.3	ePollBook	KNOWink	03/02/21	Pre-Testing Planning
Verity Voting 3.1.1	Voting System	Hart	03/14/21	Application Received

### **Administrative Approval Requests and Approvals**

OVSTA has received an application for modification of the Hart Verity Voting 3.1.1 voting system. The modifications include replacement of workstations, tablets and printers that are end-of-life and no longer available.

Information regarding administrative approval requests can be found [here](#).

### **Testing and Certification at the EAC**

The EAC had been collaborating with public working groups and hosting public hearings to discuss the development of the next iteration of the VVSG, called the Voluntary Voting System Guidelines (VVSG) 2.0 requirements. The meetings included presentations on NIST staff transitions, the RABET-V pilot program, and an open discussion on non-voting election technology approaches. On February 10, 2021, the EAC adopted the Voluntary Voting System Guidelines (VVSG) 2.0. More information on the VVSG 2.0 requirements are available [here](#).

The EAC provides [updates](#) on voting system testing. The latest update from the EAC was provided on March 19, 2021. However, based upon discussions with vendors, no systems currently undergoing testing and certification at the EAC are relevant to California.

Additional information regarding each voting system are available at: "[Voting Systems Under Test](#)" and "[Certified Voting Systems](#)".

### **Defects, Failures, and Faults**

No defects, faults or failures were reported in March.

### **Ballot Printing Certification Requests and Approvals**

OVSTA received an application from ProVoteSolutions, requesting certification for printing of Election Systems & Software, LLC., (ES&S) Election Ware ballots. The application has been approved.

OVSTA received an application from Toppan Merrill, requesting certification for printing of Dominion ImageCast ballots. The application is currently in the initial review phase.

A list of certified ballot printers can be found [here](#).

### **Ballot on Demand Printing Requests and Approvals**

No Ballot on Demand applications were submitted in March.

A list of certified ballot on demand systems can be found [here](#).

### **Electronic Poll Book Certification Requests and Approvals**

No electronic poll book certification requests or approvals were received or granted in March.

A list containing certified electronic poll book vendors can be found [here](#).

### **Notes and Reminders**

**Election Record Retention-** As a reminder, pursuant to California Elections Code sections 17301-17306, the retention and preservation of election records, which includes digital ballot images is 22 months. Several voting system tabulation components are capable of producing digital ballot images. Additional information regarding how to backup, retain, and preserve the digital ballot images, and whether or not your jurisdiction's voting system has this capability, can be found in the respective Use Procedures, [here](#).

**Disposal or Sale of Equipment-** Regarding the disposal or sale of equipment:

- In 2012, the California Secretary of State issued guidance regarding the disposition or sale of equipment purchased with HAVA and/or VMB funding. See Secretary of State (SOS) County Clerk/Registrar of Voters (CC/ROV) Memorandum #12219 - Handling the Sale and Funds from the Sale of Voting Systems or Voting Equipment. The document outlines proper disposal or sale procedures of voting systems or voting equipment purchased with HAVA and/or VMB funding. The document also highlights that all equipment must be void of any software or firmware and returned to a condition of a non-functioning piece of hardware prior to its disposal or sale.
- See also the US-CERT issued [Security Tip \(ST18-005\)](#) outlining proper disposal procedures for electronic devices.